

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small> | Attorney Docket No. | 3602.1000-003 |
| | First Named Inventor or Application Identifier | Nicholas Stamos |
| | Express Mail Label No. | EV 214950315 US |

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| Title of Invention | DIGITAL ASSET USAGE ACCOUNTABILITY VIA EVENT JOURNALING |
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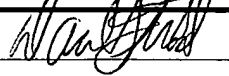
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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification Total Pages [17] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets [10] <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [3] <input type="checkbox"/> No Figure to be Published 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [3] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) <div style="text-align: center;">[] Pages</div> c. <input type="checkbox"/> Statements verifying identity of above copies |
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| ACCOMPANYING APPLICATION PARTS |
| 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Verdasy, Inc. <div style="text-align: center;">Waltham, Massachusetts</div> 8. <input type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard 13. <input checked="" type="checkbox"/> Small Entity Statement(s) 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365 14b. <input type="checkbox"/> Certified Copy of Priority Document(s) 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> 16. <input checked="" type="checkbox"/> Other <u>Remarks letter</u> |

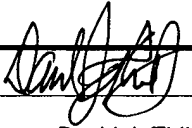
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| 17. If a CONTINUING APPLICATION , check appropriate box; supply the requisite information. <div style="display: flex; justify-content: space-between;"> <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 10/655,573 </div> <div style="display: flex; justify-content: space-between;"> Prior application information: Examiner: Not assigned Group Art Unit: Not assigned </div> <p>The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. <i>(Add standard Related Applications section with incorporation by reference to specification or update same)</i></p> | |
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| 18. CORRESPONDENCE ADDRESS | | | | | |
| NAME | Customer No. 021005 | | | | |
| | HAMILTON, BROOK, SMITH & REYNOLDS, P.C. | | | | |
| ADDRESS | 530 Virginia Road, P.O. Box 9133 | | | | |
| CITY | Concord | STATE | MA | ZIP CODE | 01742-9133 |
| COUNTRY | USA | TELEPHONE | (978) 341-0036 | FAX | (978) 341-0136 |

| | | | |
|---------------------------------------|---|-------------|--------|
| Signature |  | Date | |
| Submitted by Typed or Printed Name | David J. Thibodeau, Jr. | Reg. Number | 31,671 |

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HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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| FEE TRANSMITTAL FOR PATENT APPLICATIONS | | Attorney Docket Number | | 3602.1000-003 | |
| | | Application Number | | Continuation of 10/655,573 | |
| | | First Named Inventor | | Nicholas Stamos | |
| CLAIM CALCULATION (includes any preliminary amendment) | | | | | |
| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
| | TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small> | 22 - 20* = | 2 | x \$ 18 = | \$ 36 |
| | INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small> | 2 - 3** = | 0 | x \$ 86 = | \$ 0 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | + \$ 290 = | \$ |
| | | | | BASIC FEE <small>(37 CFR 1.16(a) or (h))</small> | \$ 770 |
| | | | | Total of above Calculations = | \$ 806 |
| | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) = | | | | \$ 403 |
| | TOTAL = | | | | \$ 403 |
| | Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = | | | | \$ 0 |
| | Petition for Extension of Time Fee (37 C.F.R. 1.17) = | | | | \$ 0 |
| | Assignment Recordation Fee = <small>(only when filed with application)</small> | | | | \$ 40 |
| | [Other] | | | | \$ |
| | | | | TOTAL = | \$ 443 |
| <p>1. Small entity status:</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> A small entity statement is enclosed.</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$443.00. <input type="checkbox"/> Please charge \$[] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p> | | | | | |
| Signature | |  | | Date | 11/16/13 |
| Submitted by Typed or Printed Name | | David J. Thibodeau, Jr. | | Reg. Number | 31,671 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas Stamos, Seth N. Birnbaum, Tomas Revesz, Jr., Donato Buccella, Keith A. MacDonald, Dwayne A. Carson and William E. Fletcher

Continuation Application of

Application No.: 10/655,573
Filed: September 4, 2003

For: Digital Asset Usage Accountability Via Event Journaling

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| Date: <u>11-18-03</u> EXPRESS MAIL LABEL NO. <u>EV 214950315 US</u> |
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REMARKS

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 10/655,573 filed on September 4, 2003 to which priority is claimed under 35 U.S.C. §120.

The related applications paragraph has been revised to include a specific reference to the parent application.

Please send all correspondence in the above-identified continuation application to:
Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **David J. Thibodeau, Jr., Esq.** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

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Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: 11/18/03